Form PTO-1449 (REV. 7-80)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY: DOCKET NO. CNG-100D1

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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT(S): Lung-Ji Chang

JUL 2 7 2001

FILING DATE April 4, 2001 GROUP 1632TECH CENTER 1600/2900

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Sheet 2 of 3 SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. Form PTO-1449 09/826,025 PATENT AND TRADEMARK OFFICE CNG-100D1 (REV. 7-80) APPLICANT(S): Lung-Ji Chang CLOSURE STATEMENT FILING DATE **GROUP** eral sheets if necessary) April 4, 2001 1632 U.S. PATENT DOCUMENTS FILING DATE EXAMINER SUBCLASS IF APPROPRIATE CLASS DATE NAME INITIAL DOCUMENT NUMBER AΑ AΒ AC ΑD ΑE ΑF AG FOREIGN PATENT DOCUMENTS TRANSLATION YES CLASS SUBCLASS NO COUNTRY DOCUMENT NUMBER DATE AH ΑI ΑJ ΑK ΑL OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Hodge, J.W. et al. (1994) "Induction of Antitumor Immunity by Recombinant Vaccinia Viruses Expressing B7-1 or B7-2 Costimulatory Molecules" Cancer Research 54(1):5552-5555. AMD AM Bueler, H. et al. (1996) "Induction of Antigen-Specific Tumor Immunity by Genetic and Cellular Vaccines Against MAGE: Enhanced Tumor Protection by Coexpression of Granulocyte-Macrophage Colony-Stimulating Factor and B7-1" Molecular AN Medicine 2(5):545-555. Liu, Y. et al. (1992) "Costimulation of T-Cell Growth" Current Opinion in Immunology 4:265-270. ΑO Linsley, P.S. et al. (1993) "The Role of the CD28 Receptor During T Cell Responses to Antigen" Annu. Rev. Immunol. 11:191-AΡ 212. Boon, T. et al. (1994) "Tumor Antigens Recognized by T Lymphocytes" Annu. Rev. Immunol. 12:337-365. AQ Garrido, F. et al. (1993) "Natural History of HLA Expression During Turnour Development" Immunology Today 14(10):491-499. AR Gerlach, J.H. et al. (1986) "Multidrug Resistance" Cancer Surveys 5(1):25-46. AS Allison, J.P. (1994) "CD28-B7 Interactions in T-Cell Activation" Current Opinion in Immunology 6:414-419.

EXAMINER Anne-marie Falk DATE CONSIDERED 5/29/03

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. (REV. 7-80) PATENT AND TRADEMARK OFFICE CNG-100D1 09/826,025 APPLICANT(S): Lung-Ji Chang ATION SCLOSURE STATEMENT (Use several sheets if necessary) **FILING DATE GROUP** April 4, 2001 1632 **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE INITIAL DOCUMENT NUMBER DATE NAME CLASS **SUBCLASS** IF APPROPRIATE AΑ ΑB AC AD ΑE ΑF AG FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES . / NO AΗ ΑI ΑJ ΑK AL OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Cromme, F.V. et al. (1994) "Loss of Transporter Protein, Encoded by the TAP-1 Gene, Is Highly Correlated With Loss of HLA 4 m a ΑM Expression in Cervical Carcinomas" J. Exp. Med. 179:335-340. Frei, U. et al. (1993) "Malignancies Under Cyclosporine After Kidney Transplantation: Analysis of a 10-Year Period" ΑN Transplantation Proceedings 25(1):1394-1396. Calabresi, P. and Chabner B.A. In: Goodman and Gilman, The Pharmacological Basis of Therapeutics (Pergamon Press, 8th AO Edition) pp. 1209-1216. Restifo, N.P. et al. (1993) "Identification of Human Cancers Deficient in Antigen Processing" J. Exp. Med. 177:265-272. ΑP Houghton, A.N. (1994) "Cancer Antigens: Immune Recognition of Self and Altered Self" J. Exp. Med. 180:1-4. AQ Chong, H. et al. (1996) "Expression of Co-Stimulatory Molecules by Tumor Cells Decreases Tumorigenicity but May Also Reduce Systemic Antitumor Immunity" Human Gene Therapy 7:1771-1779. AR Alegre et al. (1994) "Severe Combined Immunodeficient Mice Engrafted with Human Splenocytes Have Functional Human T Cells and Reject Human Allografts" J. Immunol. 153:2738-2749. McBride et al. (1995) "Human Immunodeficiency Virus Infection of Xenografted SCID-beige Mice" J. Med. Virology Ama 47:130-138.

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